

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention PROTEIN COMPLEX USING AN IMMUNOGLOBULIN FRAGMENT AND METHOD FOR THE PREPARATION THEREOF

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ U.S. Application No. 10/535,232, Int'l Application No. PCT/KR04/02944, Int'l filing date November 13, 2004,
☐ as amended on _____ (if applicable);

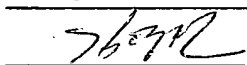
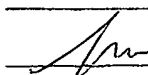
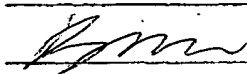
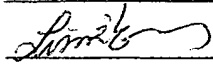
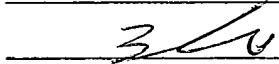
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	<u>Young Min Kim</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u></u>	Date:	<u>May 29, 2006</u>
Inventor two:	<u>Dae Jin Kim</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u></u>	Date:	<u>May 29, 2006</u>
Inventor three:	<u>Sung Min Bae</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u></u>	Date:	<u>May 29, 2006</u>
Inventor four:	<u>Chang Ki Lim</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u></u>	Date:	<u>May 29, 2006</u>
Inventor five:	<u>Se Chang Kwon</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u></u>	Date:	<u>May 29, 2006</u>

X Additional inventors or a legal representative are being named on 1 additional form(s) attached hereto.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 2 of 2

FULL NAME OF INVENTOR(S)

Inventor six:	<u>Gwan Sun Lee</u>	Citizen of:	<u>Republic of Korea</u>
Signature:	<u><i>Lee Gwan Sun</i></u>	Date:	<u>May 29, 2006</u>
Inventor seven:	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>
Inventor eight:	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>
Inventor nine:	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>

☐ Additional inventors or a legal representative are being named on ____ additional form(s) attached hereto.

ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/535,232 (International Application No. PCT/KR04/02944)
	Filing Date	November 13, 2004 (International Date)
	First Named Inventor	Young Min Kim
	Title	PROTEIN COMPLEX USING AN IMMUNOGLOBULIN FRAGMENT AND METHOD FOR THE PREPARATION THEREOF
	Art Unit	
	Examiner Name	
	Attorney Docket Number	430156.403USPC

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above (and any continuation/divisional applications therefrom), and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Email

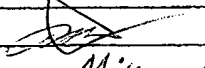
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71, to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record

Signature		Date	May 19, 2006
Name	Min, Kyung Yoon		
Title and Company (Assignee)	President Hanmi Pharm. Ind. Co., Ltd.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of _____ forms are submitted.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Hanmi Pharm. Ind. Co., Ltd.Application No./Patent No.: 10/535,232Filed/Issue Date: November 13, 2004 (Int'l Date of PCT/KR04/02944)Entitled: PROTEIN COMPLEX USING AN IMMUNOGLOBULIN FRAGMENT AND METHOD FOR THE PREPARATION THEREOFHanmi Pharm. Ind. Co., Ltd.

(Name of Assignee)

a

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Typed or printed name

Title

ASSIGNMENT

WHEREAS, we,

Name	Post Office Address	
Young Min Kim	#102-803, Woonam dreamvalley, Gomae-ri, Giheung-eup, Yongin-si, Gyeonggi-do, Republic of Korea 449-901	;
Dae Jin Kim	#28-402, Gubanpo Apt., Banpobon-dong, Seocho-gu, Seoul, Republic of Korea 137-049	;
Sung Min Bae	#1360-24 (401), Seocho2-dong, Seocho-gu, Seoul, Republic of Korea 137-072	;
Chang Ki Lim	#325-1706, Samie Apt., Yeongtong-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, Republic of Korea 443-470	;
Se Chang Kwon	#1002-2103, Hyundai 10-cha Apt., Gwangjang-dong, Gwangjin-gu, Seoul, Republic of Korea 143-210	; and
Gwan Sun Lee	#3-404, Woochang Apt., Ogeum-dong, Songpa-gu, Seoul, Republic of Korea 138-739	

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

PROTEIN COMPLEX USING AN IMMUNOGLOBULIN FRAGMENT AND METHOD
FOR THE PREPARATION THEREOF

as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/535,232. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/KR04/02944, accorded an International Filing Date of November 13, 2004;

WHEREAS, Hanmi Pharm. Ind. Co., Ltd. (hereinafter referred to as ASSIGNEE), having a usual place of business at #893-5, Hajeo-ri, Paltan-myeon, Hwaseong-si, Gyeonggi-do, Republic of Korea 445-813, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said

ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

May. 29. 2006
Date

Young Min Kim
Young Min Kim

I certify that I know or have satisfactory evidence that Young Min Kim signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May. 29. 2006
(Type or Print)
Jung - In - Jung
(Type or Print Name of Witness)

Signed at Seoul - Korea
Jung
(Signature of Witness)

May. 29 2006
Date



Dae Jin Kim

I certify that I know or have satisfactory evidence that Dae Jin Kim signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May. 29. 2006
(Type or Print)
Jung, mi Jung
(Type or Print Name of Witness)

Signed at Seoul, Korea
Jung
(Signature of Witness)

May 29 2006
Date


Sung Min Bae

I certify that I know or have satisfactory evidence that Sung Min Bae signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May. 29. 2006
(Type or Print)
Jung, mi Jung
(Type or Print Name of Witness)

Signed at Seoul, Korea
Sung
(Signature of Witness)

May 29 2006
Date

Limab
Chang Ki Lim

I certify that I know or have satisfactory evidence that Chang Ki Lim signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May 29 2006
(Type or Print)
Jung, m. Jung
(Type or Print Name of Witness)

Signed at Seoul, Korea
Jun
(Signature of Witness)

May 29 2006
Date

Se Chang Kwon
Se Chang Kwon

I certify that I know or have satisfactory evidence that Se Chang Kwon signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May 29 2006
(Type or Print)
Jung, m. Jung
(Type or Print Name of Witness)

Signed at Seoul, Korea
Jung
(Signature of Witness)

International Application No.: PCT/KR04/02944
U.S. Application No.: 10/535,232

May 29 2006
Date

Lee Gwan Sun
Gwan Sun Lee

I certify that I know or have satisfactory evidence that Gwan Sun Lee signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date May 29 2006
(Type or Print)

Signed at Seoul, Korea

Jung mi Jung
(Type or Print Name of Witness)

Jung
(Signature of Witness)

Docket No. (430156.403USPC)
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